

5.6 Partial Orderings

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What is Order?



Agenda

- Partial Order
- Total Order
- Lexicographic Order
- Hasse Diagrams
- Minimal/Maximal Element
- Least/Greatest Element
- Lower/Upper Bound
- Greatest Lower/Least Upper Bound

What is Order?

- **Equivalence (=)** concept is discussed
- The abstraction of the following relations will be discussed in this chapter
 - **Bigger or Equal / Smaller or Equal (\leq, \geq)**
 - **Bigger / Smaller ($<, >$)**

What is Order?

- What properties “ \leq ” or “ \geq ” should have?

| | | |
|----------------------|-----------------------|---------------|
| Reflexive | Inflexive | Transitive |
| Symmetric | Asymmetric | Antisymmetric |

- What properties “ $<$ ” or “ $>$ ” should have?

| | | |
|----------------------|-------------|---------------|
| Reflexive | Irreflexive | Transitive |
| Symmetric | Asymmetric | Antisymmetric |

Partially Ordered Set

- When R is a partial order in A , (A, R) is called a partially ordered set or a **poset**

- Recall, aRb denotes that $(a,b) \in R$

- If R is a partial ordering relation

$a \preceq b$ denotes that $(a,b) \in \preceq$

- (A, \preceq) is a poset

Partially Ordered Set

- Definition

Let R be a relation on A .

Then R is a partial order iff R is



- Reflexive**

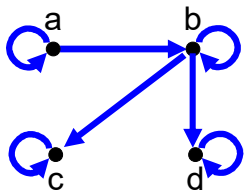
$$\forall a ((a, a) \in R)$$

- Antisymmetric**

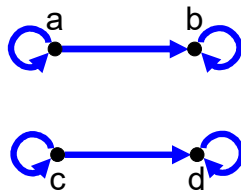
$$\forall a \forall b (((a, b) \in R \wedge (b, a) \in R) \rightarrow (a = b))$$

- Transitive**

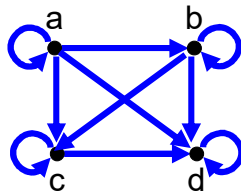
$$\forall a \forall b \forall c (((a, b) \in R \wedge (b, c) \in R) \rightarrow ((a, c) \in R))$$



Not Partial Order



Partial Order



Partial Order

Partially Ordered Set

Example 1

- Show that the “greater than or equal” relation (\geq) is a partial ordering on the set of integers

- Reflexive**

$a \geq a$ for every integer a

- Antisymmetric**

If $a \geq b$ and $b \geq a$, then $a = b$

- Transitive**

$a \geq b$ and $b \geq c$ imply that $a \geq c$

- (\mathbb{Z}, \geq) is a poset

Example 2

- $(\mathbb{Z}^+, |)$ is a poset

The **divisibility relation** $|$ is a partial ordering on the set of positive integers.

- i.e. a divides b

- $(\mathcal{P}(S), \subseteq)$ is a poset

The **inclusion relation** \subseteq is a partial ordering on the power set of a set S

- i.e. a is the subset of b

Non-Strict & Strict Partial Order

- Non-strict (or reflexive) Partial Order \preceq
 - Property: **Reflexive**, Antisymmetric, Transitive
- Strict (or irreflexive) Partial Order \prec
 - i.e. $a \prec b$ denotes that $a \preceq b$, but $a \neq b$
 - Property: **Irreflexive**, Antisymmetric, Transitive

}
asymmetric
- Generally, partial order refers to \preceq

Comparability

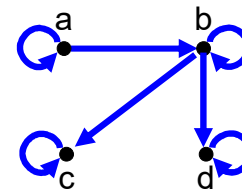
- The elements a and b of a poset (S, \preceq) are called **comparable** if either $a \preceq b$ or $b \preceq a$
- Otherwise, a and b are **incomparable**

- Example

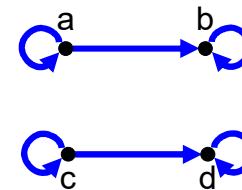
- In the poset $(\mathbb{Z}^+, |)$,
 - Are 3 and 9 comparable? **Yes, since $3 | 9$**
 - Are 5 and 7 comparable? **No**

Total Ordered

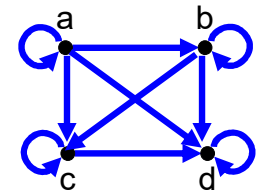
- If (S, R) is a poset and every two elements are comparable, S is called a **total ordered** or **linear ordered** or **simple ordered set**
- In this case (S, R) is called a **chain**



Not Partial Order
Not Total Order



Partial Order
Not Total Order



Partial Order
Total Order

Total Ordered Example

- **Poset (\mathbb{Z}, \leq) ? Totally Ordered**
 - Since $a \leq b$ or $b \leq a$ whenever a and b are integers
- **Poset $(\mathbb{Z}^+, |)$? Not totally Ordered**
 - It contains elements that are incomparable, such as 5 and 7
- **Poset $(\mathcal{P}(S), \subseteq)$, where S is a set** Not totally Ordered
 - It may not be the case that $A \subseteq B$ or $B \subseteq A$

Lexicographic Order Special Case

- **Lexicographic Order** is a generalization of the way the alphabetical order of words is based on the alphabetical order of letters
 - Also known as **lexical order**, **dictionary order**, **alphabetical order** or **lexicographic(al) product**
- Given two posets (A_1, \preceq_1) and (A_2, \preceq_2) we construct an **Lexicographic Order** \preceq on $A_1 \times A_2$:

 $\langle x_1, y_1 \rangle \preceq \langle x_2, y_2 \rangle$ iff $x_1 \prec_1 x_2$ or $(x_1 = x_2$ and $y_1 \preceq_2 y_2)$

Lexicographic Order

- What is the order of a letter? $A \preceq C$?
 - Alphabetical order $C \preceq A$?
- What is the order of a word?
 - **Lexicographic Order**
 - Generalization of Alphabetical order

discrete \preceq discreet ?

discreetness \preceq discreet ?

Lexicographic Order Special Case Example

- Let $A_1 = A_2 = \mathbb{Z}^+$ and $R_1 = R_2 = \text{'divides'}$.
- If the following relation is Lexicographic Order R ?
 - $(2, 4) R (2, 8)$? Condition 1 ✗ $2 = 2$
Condition 2 ✓ $4 \text{ divides } 8$
 - ~~$(2, 4) R (2, 6)$~~ ? Condition 1 ✗ $2 = 2$
Condition 2 ✗ $4 \text{ does not divide } 6$
 - $(2, 4) R (4, 5)$? Condition 1 ✓ $2 \text{ divides } 4$
Condition 2 ✗ $4 \text{ does not divide } 5$

1. $(x_1 \neq x_2)$ and x_1 divides x_2 ?
2. $(x_1 = x_2)$ and $(y_1 \neq y_2)$ and $(y_1 \text{ divides } y_2)$?

Lexicographic Order General Case

- Given $(A_1, \preceq_1), (A_2, \preceq_2), \dots, (A_n, \preceq_n)$
- The **Lexicographic Order** \prec on multiple Cartesian products: $A_1 \times A_2 \times A_3 \times \dots \times A_n$
 $(a_1, a_2, \dots, a_n) \prec (b_1, b_2, \dots, b_n)$

- iff
 - If $a_1 \prec_1 b_1$, or
 - if there is an integer $i > 0$ such that $a_1 = b_1, \dots, a_i = b_i$ and $a_{i+1} \prec_{i+1} b_{i+1}$

For two posets, (A_1, \preceq_1) and (A_2, \preceq_2)
 $\langle x_1, y_1 \rangle \prec \langle x_2, y_2 \rangle$ iff $x_1 \prec_1 x_2$ or $(x_1 = x_2$ and $y_1 \prec_2 y_2)$

Lexicographic Order String

- Lexicographic order** is applied to strings of symbols where there is an underlying 'alphabetical' order
- Consider the **different strings** $a_1 a_2 \dots a_m$ and $b_1 b_2 \dots b_n$ on a partial ordered set S
- Let $t = \min(m, n)$, the definition of **lexicographic ordering for string** is that the string $a_1 a_2 \dots a_m$ is less than $b_1 b_2 \dots b_n$ if and only if

- $(a_1, a_2, \dots, a_t) = (b_1, b_2, \dots, b_t)$ and $m < n$) or

$$(a_1, a_2, \dots, a_t) \leq (b_1, b_2, \dots, b_t)$$

lexicographic ordering using 'alphabetical' order

Lexicographic Order General Case: Example

- Let $A_1 = A_2 = \dots = A_n = \mathbb{Z}^+$ and $R_1 = R_2 = \dots = R_i = \text{'divides'}$
- If the following relation is **Lexicographic Order** R ?

$$\langle 2, 3, 4, 5 \rangle R \langle 2, 3, 8, 2 \rangle?$$

$$\langle 2, 3, 4, 5 \rangle R \langle 3, 6, 8, 10 \rangle?$$

$$i=1 \quad 2 \prec_1 2?$$

$$2 \prec_1 3?$$

$$i=2 \quad 2 = 2 \text{ and } 3 \prec_2 3?$$

$$2 = 3 \text{ and } 3 \prec_2 6? \quad \times$$

$$i=3 \quad 2 = 2 \text{ and } 3 = 3 \text{ and } 4 \prec_3 8? \quad \checkmark$$

Do not need to check the rest as $a_i \neq b_i$

- If $a_1 \prec_1 b_1$, or
- if there is an integer $i > 0$ such that $a_1 = b_1, \dots, a_i = b_i$ and $a_{i+1} \prec_{i+1} b_{i+1}$

- Consider the set of strings of lowercase English letters. $t = \min(m, n)$

$$\begin{array}{l} \text{"discrete"} \quad \text{length} = 8 \quad t = 8 \quad \text{alphabetical order: } e < t \\ \text{"discreet"} \quad \text{length} = 8 \quad \text{discreet} \prec \text{discrete} \end{array}$$

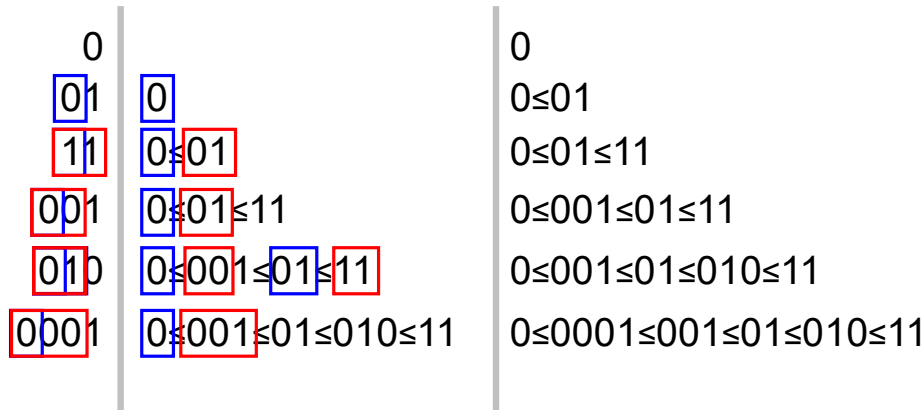
$$\begin{array}{l} \text{"discreet"} \quad \text{length} = 8 \quad t = 8 \\ \text{"discreetness"} \quad \text{length} = 12 \quad \text{discreet} \prec \text{discreetness} \end{array}$$

$$\begin{array}{l} \text{"fiscrete"} \quad \text{length} = 8 \quad t = 8 \\ \text{"discreteen"} \quad \text{length} = 12 \quad \text{alphabetical order: } d < f \\ \text{discreteen} \prec \text{fiscrete} \end{array}$$

String: Example

- $(a_1, a_2, \dots, a_t) = (b_1, b_2, \dots, b_t)$ and $m < n$
or
- $(a_1, a_2, \dots, a_t) \leq (b_1, b_2, \dots, b_t)$

- Find the lexicographic ordering of the bit strings 0, 01, 11, 001, 010, 011, 0001 and 0101 based on the ordering $0 < 1$

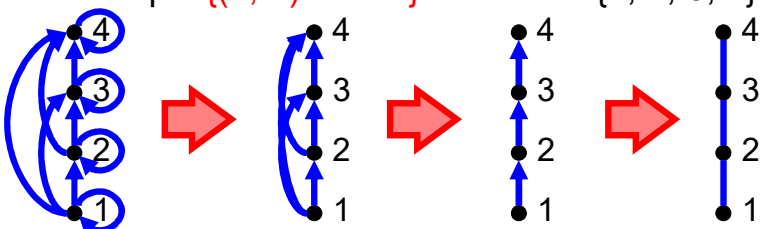


Hasse Diagrams

- To construct a Hasse diagram:
 - Construct a digraph representation of the poset (A, R) so that all arcs point up (except the loops).
 - Eliminate all loops
 - Eliminate all redundant arcs
 - Start to eliminate from the top
 - Eliminate the direction of the edge

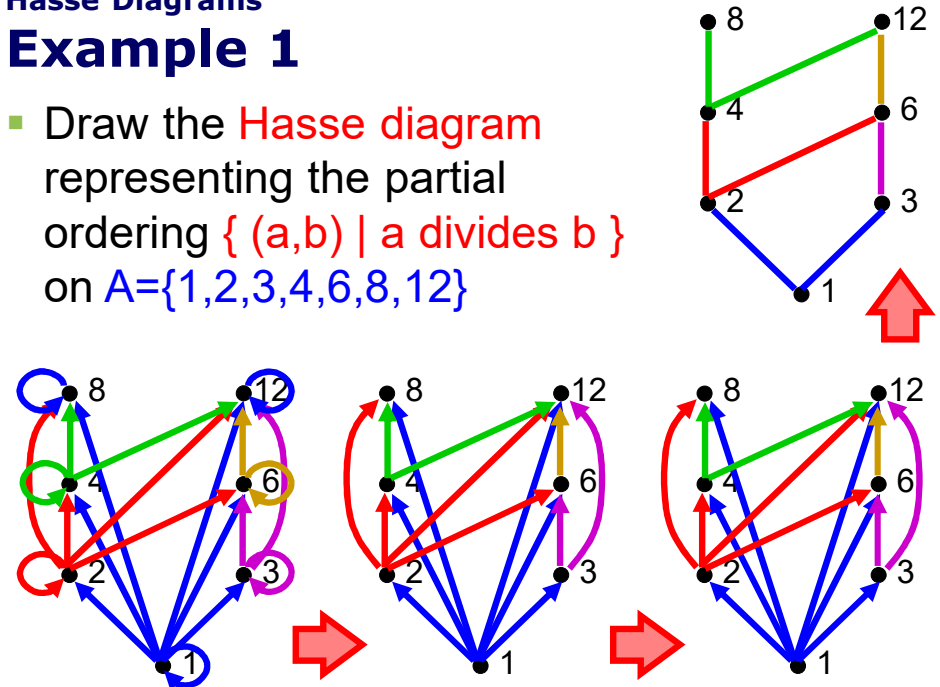
Hasse Diagrams

It is Hasse Diagram

- Show the partial ordering using a graph
 - For example $\{(a, b) \mid a \leq b\}$ on the set $\{1, 2, 3, 4\}$
- 
- The graph is too complicated and try to simplify it:
 - A partial ordering must be reflexive: the loops are not necessary
 - A partial ordering must be transitive: some edges can be removed
 - By assuming all edges are pointed upward, the direction of edges is not necessary

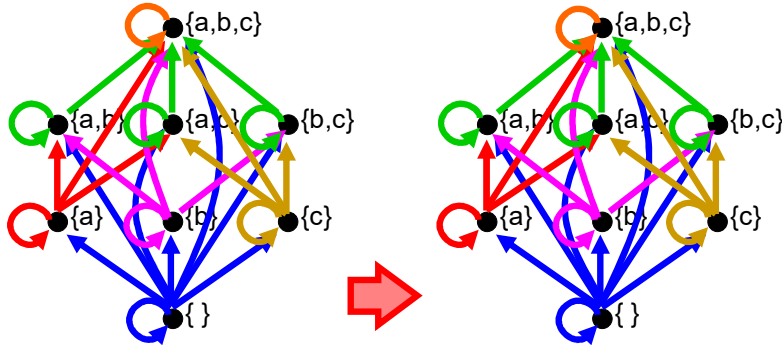
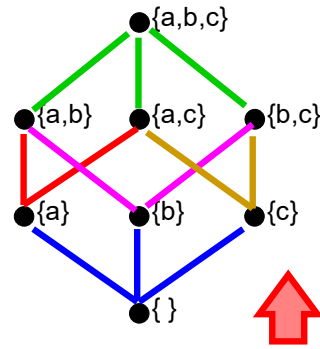
Hasse Diagrams Example 1

- Draw the Hasse diagram representing the partial ordering $\{(a, b) \mid a \text{ divides } b\}$ on $A = \{1, 2, 3, 4, 6, 8, 12\}$



☺ Small Exercise ☺

- Construct the **Hasse diagram** of $(P(\{a, b, c\}), \subseteq)$
- The elements of $P(\{a, b, c\})$ are $\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}$
- The digraph is



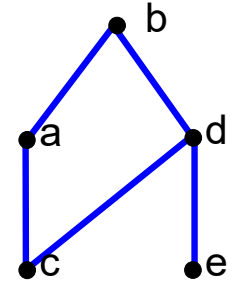
Minimal & Maximal Elements

- Let (A, R) be a poset and $S \subseteq A$.
 s (b) in S is a **minimal element** (**maximal element**) of S iff there does not exist an element x in S such that xR_s (sRx)

| | maximal element | minimal element |
|---------------------|-----------------|-----------------|
| $\{a, b, c\}$ | b | c |
| $\{a, b, c, d, e\}$ | b | c, e |

maximal element

minimal element



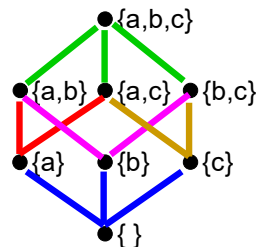
Covering Relation

- Let (S, \preceq) be a poset. (x, y) such that y cover x is called **the covering relation** of (S, \preceq) if $x \prec y$ and there is no element $z \in S$ such that $x \prec z \prec y$

Example

- For $(P(\{a, b, c\}), \subseteq)$, if it is a covering relation?

- $\{a, b\}, \{a\}$? **✗** $\{a\} \prec \{a, b\}$
- $\{a\}, \{a, b\}$? **✓**
- $\{\}, \{a, b\}$? **✗** $\{\} \prec \{a\} \prec \{a, b\}$ or $\{\} \prec \{b\} \prec \{a, b\}$
- $\{a\}, \{a\}$? **✗** $\{a\} = \{a\}$



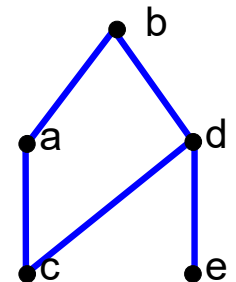
Least & Greatest Elements

- Let (A, R) be a poset and $S \subseteq A$.
 s (b) in S is a **least element** (**greatest element**) of S iff sRx (xRb) for every x in S
- It is **unique** if it exists

| | Greatest element | Least element |
|---------------------|------------------|---------------|
| $\{a, b, c\}$ | b | c |
| $\{a, b, c, d, e\}$ | b | / |

Greatest element

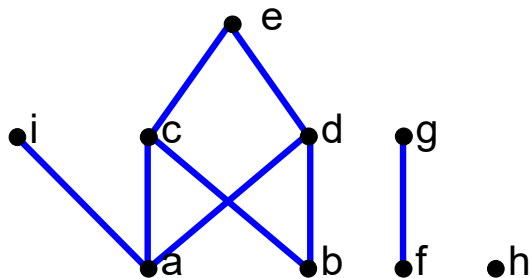
Least element



☺ Small Exercise ☺

- Minimal element: **a b f h**
 - Not exist an element x in S such that xR_s
- Maximal element: **e i g h**
 - Not exist an element x in S such that bRx
- Least element: /
 - sRx for every x in S
- Greatest element: /
 - xRb for every x in S

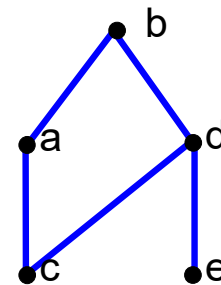
$S = \{a, b, c, d, e, f, g, h, i\}$



Upper & Lower Bound

- Let (A, R) be a poset and $S \subseteq A$.
 s (b) in A is an **lower bound** (**upper bound**) of S iff sRx (xRb) for every x in S

| | Upper Bound | Lower Bound |
|---------------|-------------|-------------|
| $\{a, d\}$ | b | c |
| $\{c, d, e\}$ | d, b | / |



Well Ordered

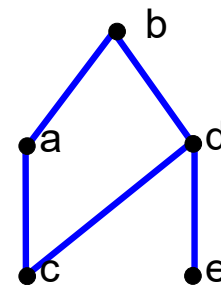
- A chain (A, R) is **well-ordered** iff every nonempty subset of A has a least element
- Examples:
 - (\mathbb{Z}, \leq) is **a chain but not well-ordered**
 - \mathbb{Z} does not have least element
 - (\mathbb{N}, \leq) is **well-ordered**
 - (\mathbb{N}, \geq) is **not well-ordered**

Greatest Lower & Least Upper Bounds

- Let (A, R) be a poset and $S \subseteq A$.
 s (b) is the **least upper bound** (**greatest lower bound**), denoted $\text{lub}(S)$ ($\text{glb}(S)$), iff s (b) is an **upper bound** (**lower bound**) for S and sRx (yRb) for all other **upper bounds** x (**lower bounds** y) of S
- It is **unique** if it exists

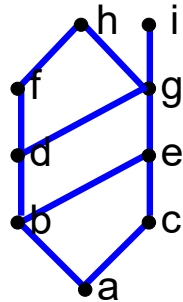
| | Upper Bound | Lower Bound |
|---------------|-------------|-------------|
| $\{a, d\}$ | b | c |
| $\{c, d, e\}$ | d, b | / |

| | Least Upper Bound | Greatest Lower Bound |
|---------------|-------------------|----------------------|
| $\{a, d\}$ | b | c |
| $\{c, d, e\}$ | d | / |



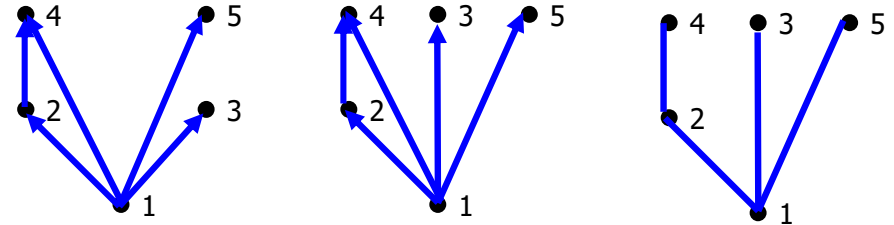
☺ Small Exercise ☺

| | {a,b,c} | {b,c,d,g} | {h,i} |
|----------------------|---------|-----------|-------------|
| Minimal Element | a | b,c | h,i |
| Maximal Element | b,c | g | h,i |
| Least Element | a | / | / |
| Greatest Element | / | g | / |
| Lower Bound | a | a | a,b,c,e,d,g |
| Upper Bound | e,g,i,h | g,i,h | / |
| Greatest Lower Bound | a | a | g |
| Least Upper Bound | e | g | / |



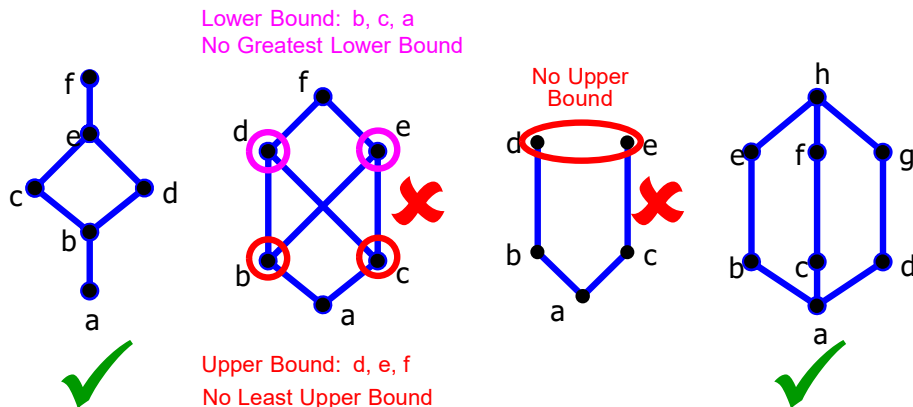
Lattice: Example

- Poset $(\{1,2,3,4,5\}, |)$? **Not Lattice**
 - 2,3 have no upper bounds in $\{1,2,3,4,5\}$
- Poset $(\{1,2,4,8,16\}, |)$? **Lattice**



Lattice

- A partially ordered set in which every pair of elements has both a least upper bound and a greatest lower bound is called a **lattice**



Lattice: Theorem

- Theorem:** If L is a lattice, least upper bound and greatest lower bound of a and b can be defined as $a \vee b$ and $a \wedge b$, respectively. \vee and \wedge satisfy the following properties for $a, b, c \in L$.

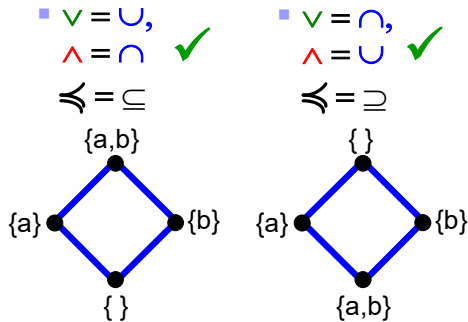
- Commutative laws**
 $a \vee b = b \vee a$ and $a \wedge b = b \wedge a$
- Associative laws**
 $a \vee (b \vee c) = (a \vee b) \vee c$ and $a \wedge (b \wedge c) = (a \wedge b) \wedge c$
- Idempotent laws**
 $a \vee a = a$ and $a \wedge a = a$
- Absorption laws**
 $a \vee (a \wedge b) = a$ and $a \wedge (a \vee b) = a$

- Be noted that \vee and \wedge does not necessary to be OR and AND. They can be any binary operation which fulfill the following properties

Lattice: Theorem: Example 1

- Given $(P(\{x,y\}), \preceq)$
 - Is it a partial order?
 1. Reflexive ✓
 2. Antisymmetric ✓
 3. Transitive ✓
 - Is it a lattice? ✓

lub: $a \vee b$
glb: $a \wedge b$
 - What should be \vee or \wedge ?
 1. Commutative laws
 $a \vee b = b \vee a$ and $a \wedge b = b \wedge a$
 2. Associative laws
 $a \vee (b \vee c) = (a \vee b) \vee c$ and
 $a \wedge (b \wedge c) = (a \wedge b) \wedge c$
 3. Idempotent laws
 $a \vee a = a$ and $a \wedge a = a$
 4. Absorption laws
 $a \vee (a \wedge b) = a$ and $a \wedge (a \vee b) = a$

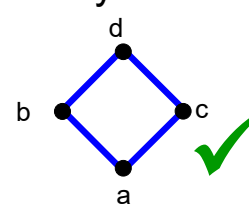


Distributive Lattice

- A lattice (L, \vee, \wedge) is **distributive** if the following identity holds for all $a, b, c \in L$:
 - $a \vee (b \wedge c) = (a \vee b) \wedge (a \vee c)$
 - $a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c)$

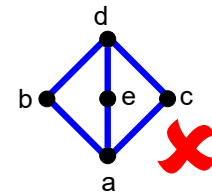
Example,

- Are they distributive?



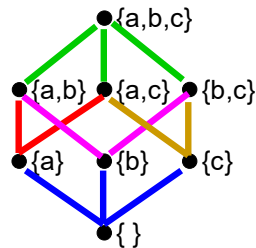
$$b \wedge (e \vee c) = b \wedge d = b$$

$$(b \wedge e) \vee (b \wedge c) = a \vee a = a$$



Lattice: Theorem: Example 2

- Given L as $(P(\{a, b, c\}), \subseteq)$,
 - $\vee = \cup$ and $\wedge = \cap$
- Recall,
 - lub: $a \vee b$
 - glb: $a \wedge b$
- lub of $\{a\}$ & $\{a,b\}$? $\{a\} \cup \{a,b\} = \{a,b\}$
- lub of $\{a\}$ & $\{b,c\}$? $\{a\} \cup \{b,c\} = \{a,b,c\}$
- glb of $\{a,b\}$ & $\{b,c\}$? $\{a,b\} \cap \{b,c\} = \{b\}$



Bounded Lattice

- A lattice (L, \preceq) is called **bounded lattice** if there exist elements $\alpha, \beta \in L$ such that for each $x \in L$, $x \preceq \alpha$ and $\beta \preceq x$.
 - α is the **largest element** of L (denoted by **1**)
 - β is the **smallest element** of L (denoted by **0**)
- If a lattice is bounded, then
 - 1** is the **lub of the lattice**
 - 0** is the **glb of the lattice**

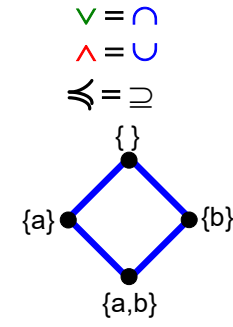
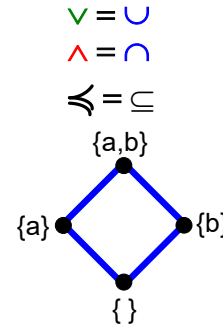
Complemented Lattice

$$\begin{matrix} \text{lub: } a \vee b \\ \text{glb: } a \wedge b \end{matrix}$$

- A bounded lattice (L, \preceq) is **complemented lattice** if for each $x \in L$, there exists $y \in L$ such that $x \vee y = 1$ and $x \wedge y = 0$
 - y is a **complement of x** (denoted by $\neg x$)
- In general an element may have more than one complement

Lattice: Principle of Duality

- Any statement that is **true** for lattice remains true when \preceq is **replaced** by \succeq and \wedge and \vee are **interchanged** throughout the statement.
- Example of dual



Complemented Lattice Example

$$\begin{matrix} \text{lub: } a \vee b \\ \text{glb: } a \wedge b \end{matrix}$$

$$y \text{ is } \neg x \text{ if } x \vee y = 1 \text{ and } x \wedge y = 0$$

| X | $\neg X$ |
|---|----------|
| 0 | 1 |
| 1 | 0 |
| a | b,e |
| b | a,c |
| c | b |
| d | NO |
| e | a |

Not Complemented Lattice

| X | $\neg X$ |
|---|----------|
| 0 | 1 |
| 1 | 0 |
| a | b,d |
| b | a,c |
| c | b,d |
| d | a,c |

Complemented Lattice