

# 5.6 Partial Orderings

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## Agenda

- Partial Order
- Total Order
- Lexicographic Order
- Hasse Diagrams
- Minimal/Maximal Element
- Least/Greatest Element
- Lower/Upper Bound
- Greatest Lower/Least Upper Bound

# What is Order?



# What is Order?

- **Equivalence (=)** concept is discussed
- The abstraction of the following relations will be discussed in this chapter
  - **Bigger or Equal / Smaller or Equal ( $\leq, \geq$ )**
  - **Bigger / Smaller ( $<, >$ )**

# What is Order?

- What properties “ $\leq$ ” or “ $\geq$ ” should have?

Reflexive	<del>Irreflexive</del>	Transitive
<del>Symmetric</del>	<del>Asymmetric</del>	Antisymmetric

- What properties “ $<$ ” or “ $>$ ” should have?

<del>Reflexive</del>	Irreflexive	Transitive
<del>Symmetric</del>	Asymmetric	Antisymmetric

## Partially Ordered Set

- Definition  
Let  $R$  be a relation on  $A$ .  
Then  $R$  is a partial order iff  $R$  is



- Reflexive

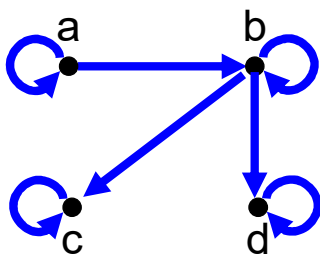
$$\forall a ((a, a) \in R)$$

- Antisymmetric

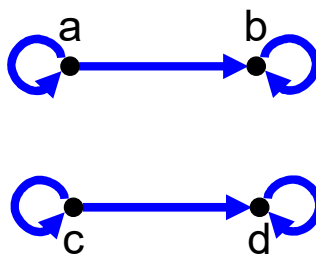
$$\forall a \forall b ((a, b) \in R \wedge (b, a) \in R) \rightarrow (a = b)$$

- Transitive

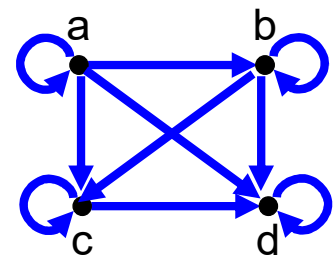
$$\forall a \forall b \forall c ((a, b) \in R \wedge (b, c) \in R) \rightarrow ((a, c) \in R)$$



Not Partial Order



Partial Order



Partial Order

# Partially Ordered Set

- When  $R$  is a partial order in  $A$ ,  $(A, R)$  is called a partially ordered set or a **poset**
- Recall,  $aRb$  denotes that  $(a,b) \in R$
- If  $R$  is a partial ordering relation
$$a \preceq b \text{ denotes that } (a,b) \in \preceq$$
- $(A, \preceq)$  is a poset

## Partially Ordered Set

### Example 1

- Show that the “greater than or equal” relation ( $\geq$ ) is a **partial ordering** on the set of integers
- **Reflexive**  
 $a \geq a$  for every integer  $a$
- **Antisymmetric**  
If  $a \geq b$  and  $b \geq a$ , then  $a = b$
- **Transitive**  
 $a \geq b$  and  $b \geq c$  imply that  $a \geq c$
- $(\mathbb{Z}, \geq)$  is a poset

## Example 2

- $(\mathbb{Z}^+, |)$  is a poset

The **divisibility relation**  $|$  is a partial ordering on the set of positive integers.

- i.e.  $a$  divides  $b$

- $(\mathcal{P}(S), \subseteq)$  is a poset

The **inclusion relation**  $\subseteq$  is a partial ordering on the power set of a set  $S$

- i.e.  $a$  is the subset of  $b$

## Comparability

- The **elements**  $a$  and  $b$  of a poset  $(S, \preceq)$  are called **comparable** if either  $a \preceq b$  or  $b \preceq a$

- Otherwise,  $a$  and  $b$  are **incomparable**

- Example

- In the **poset**  $(\mathbb{Z}^+, |)$ ,

- Are  $3$  and  $9$  comparable? **Yes, since  $3 | 9$**
- Are  $5$  and  $7$  comparable? **No**

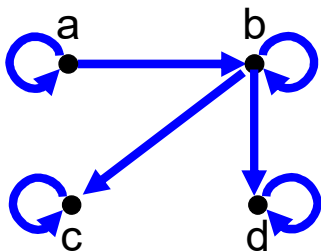
# Non-Strict & Strict Partial Order

- Non-strict (or reflexive) Partial Order  $\preceq$ 
  - Property: Reflexive, Antisymmetric, Transitive
- Strict (or irreflexive) Partial Order  $\prec$ 
  - i.e.  $a \prec b$  denotes that  $a \preceq b$ , but  $a \neq b$
  - Property: Irreflexive, Antisymmetric, Transitive

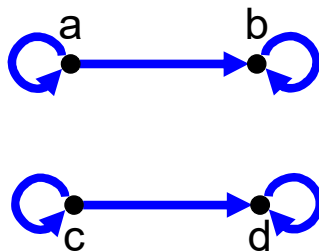
}  
asymmetric
- Generally, partial order refers to  $\preceq$

# Total Ordered

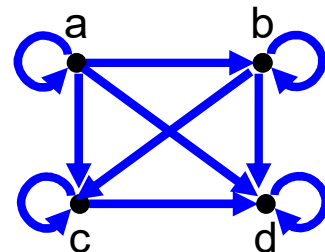
- If  $(S, R)$  is a poset and every two elements are comparable,  $S$  is called a **total ordered** or **linear ordered** or **simple ordered set**
- In this case  $(S, R)$  is called a **chain**



Not Partial Order  
Not Total Order



Partial Order  
Not Total Order



Partial Order  
Total Order

## Example

- **Poset  $(\mathbb{Z}, \leq)$ ?** Totally Ordered
  - Since  $a \leq b$  or  $b \leq a$  whenever  $a$  and  $b$  are integers
  
- **Poset  $(\mathbb{Z}^+, |)$ ?** Not totally Ordered
  - It contains elements that are incomparable, such as 5 and 7
  
- **Poset  $(\mathcal{P}(S), \subseteq)$ ,** where  $S$  is a set Not totally Ordered
  - It may not be the case that  $A \subseteq B$  or  $B \subseteq A$

## Lexicographic Order

- What is **the order of a letter**? A  $\preceq$  C ?
  - Alphabetical order C  $\preceq$  A ?
  
- What is **the order of a word**?
  - Lexicographic Order
  - Generalization of Alphabetical order

discrete  $\preceq$  discreet ?

discreetness  $\preceq$  discreet ?

# Lexicographic Order







## Special Case

- Lexicographic Order** is a generalization of the way the alphabetical order of words is based on the alphabetical order of letters
  - Also known as **lexical order**, **dictionary order**, **alphabetical order** or **lexicographic(al) product**
- Given two posets  $(A_1, \preceq_1)$  and  $(A_2, \preceq_2)$  we construct an **Lexicographic Order**  $\preceq$  on  $A_1 \times A_2$ :

$$\langle x_1, y_1 \rangle \preceq \langle x_2, y_2 \rangle \text{ iff } x_1 \prec_1 x_2 \text{ or } (x_1 = x_2 \text{ and } y_1 \preceq_2 y_2)$$

## Lexicographic Order Special Case Example

$$\text{For } (A_1, \preceq_1) \text{ and } (A_2, \preceq_2) \\ \langle x_1, y_1 \rangle R \langle x_2, y_2 \rangle \text{ iff } x_1 \prec_1 x_2 \text{ or } (x_1 = x_2 \text{ and } y_1 \preceq_2 y_2)$$

- Let  $A_1 = A_2 = \mathbb{Z}^+$  and  $R_1 = R_2 = \text{'divides'}$ .
- If the following relation is Lexicographic Order R?
  - $(2, 4) R (2, 8)$ ? Condition 1   $2 = 2$   
 Condition 2   $4 \text{ divides } 8$
  - ~~$(2, 4) R (2, 6)$~~ ? Condition 1   $2 = 2$   
 Condition 2   $4 \text{ does not divide } 6$
  - $(2, 4) R (4, 5)$ ? Condition 1   $2 \text{ divides } 4$   
 Condition 2   $4 \text{ does not divide } 5$

- $(x_1 \neq x_2)$  and  $x_1$  divides  $x_2$ ?
- $(x_1 = x_2)$  and  $(y_1 \neq y_2)$  and  $(y_1 \text{ divides } y_2)$ ?



## Lexicographic Order

# General Case

- Given  $(A_1, \preceq_1), (A_2, \preceq_2), \dots, (A_n, \preceq_n)$
- The **Lexicographic Order**  $\prec$  on multiple Cartesian products:  $A_1 \times A_2 \times A_3 \times \dots \times A_n$

$$(a_1, a_2, \dots, a_n) \prec (b_1, b_2, \dots, b_n)$$

- iff
  - If  $a_1 \prec_1 b_1$ , or
  - if there is an integer  $i > 0$  such that  $a_1 = b_1, \dots, a_i = b_i$  and  $a_{i+1} \prec_{i+1} b_{i+1}$

For two posets,  $(A_1, \preceq_1)$  and  $(A_2, \preceq_2)$   
 $\langle x_1, y_1 \rangle \prec \langle x_2, y_2 \rangle$  iff  $x_1 \prec_1 x_2$  or  $(x_1 = x_2$  and  $y_1 \prec_2 y_2)$

## Lexicographic Order


# General Case: Example

- Let  $A_1 = A_2 = \dots = A_n = \mathbb{Z}^+$  and  $R_1 = R_2 = \dots = R_i = \text{'divides'}$
- If the following relation is **Lexicographic Order**  $R$ ?

▪  $(2, 3, 4, 5) R (2, 3, 8, 2)?$


$i=1$   $2 \prec_1 2?$

$i=2$   $2 = 2$  and  $3 \prec_2 3?$

$i=3$   $2 = 2$  and  $3 = 3$  and  $4 \prec_3 8?$  

▪  $(2, 3, 4, 5) R (3, 6, 8, 10)?$

$2 \prec_1 3?$

$2 = 3$  and  $3 \prec_2 6?$  

Do not need to check the rest  
as  $a_1 \neq b_1$

- If  $a_1 \prec_1 b_1$ , or
- if there is an integer  $i > 0$  such that  $a_1 = b_1, \dots, a_i = b_i$  and  $a_{i+1} \prec_{i+1} b_{i+1}$

# Lexicographic Order String

- Lexicographic order is applied to strings of symbols where there is an underlying 'alphabetical' order
  - Consider the different strings  $a_1a_2\dots a_m$  and  $b_1b_2\dots b_n$  on a partial ordered set S
  - Let  $t = \min(m, n)$ , the definition of lexicographic ordering for string is that the string  $a_1a_2\dots a_m$  is less than  $b_1b_2\dots b_n$  if and only if
    - $(a_1, a_2, \dots, a_t) = (b_1, b_2, \dots, b_t)$  and  $m < n$  ) or
    - $(a_1, a_2, \dots, a_t) \leq (b_1, b_2, \dots, b_t)$
- lexicographic ordering using 'alphabetical' order

- If  $a_1 <_1 b_1$ , or
- if there is an integer  $i > 0$  such that  $a_1 = b_1, \dots, a_i = b_i$  and  $a_{i+1} <_{i+1} b_{i+1}$
- $(a_1, a_2, \dots, a_t) = (b_1, b_2, \dots, b_t)$  and  $m < n$  or
- $(a_1, a_2, \dots, a_t) \leq (b_1, b_2, \dots, b_t)$

- Consider the set of strings of lowercase English letters.  $t = \min(m, n)$

- |  |   |   |   |   |   |   |   |   |            |   |                       |   |   |   |   |   |            |         |                             |
|--|---|---|---|---|---|---|---|---|------------|---|-----------------------|---|---|---|---|---|------------|---------|-----------------------------|
| <table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>d</td><td>i</td><td>s</td><td>c</td><td>r</td><td>e</td><td>t</td><td>e</td></tr> <tr><td>d</td><td>i</td><td>s</td><td>c</td><td>r</td><td>e</td><td>e</td><td>t</td></tr> </table> | d | i | s | c | r | e | t | e | d          | i | s                     | c | r | e | e | t | length = 8 | $t = 8$ | alphabetical order: $e < t$ |
| d  | i | s | c | r | e | t | e |   |            |   |                       |   |   |   |   |   |            |         |                             |
| d  | i | s | c | r | e | e | t |   |            |   |                       |   |   |   |   |   |            |         |                             |
| <table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>d</td><td>i</td><td>s</td><td>c</td><td>r</td><td>e</td><td>e</td><td>t</td></tr> </table>   | d | i | s | c | r | e | e | t | length = 8 |   | $discreet < discrete$ |   |   |   |   |   |            |         |                             |
| d  | i | s | c | r | e | e | t |   |            |   |                       |   |   |   |   |   |            |         |                             |

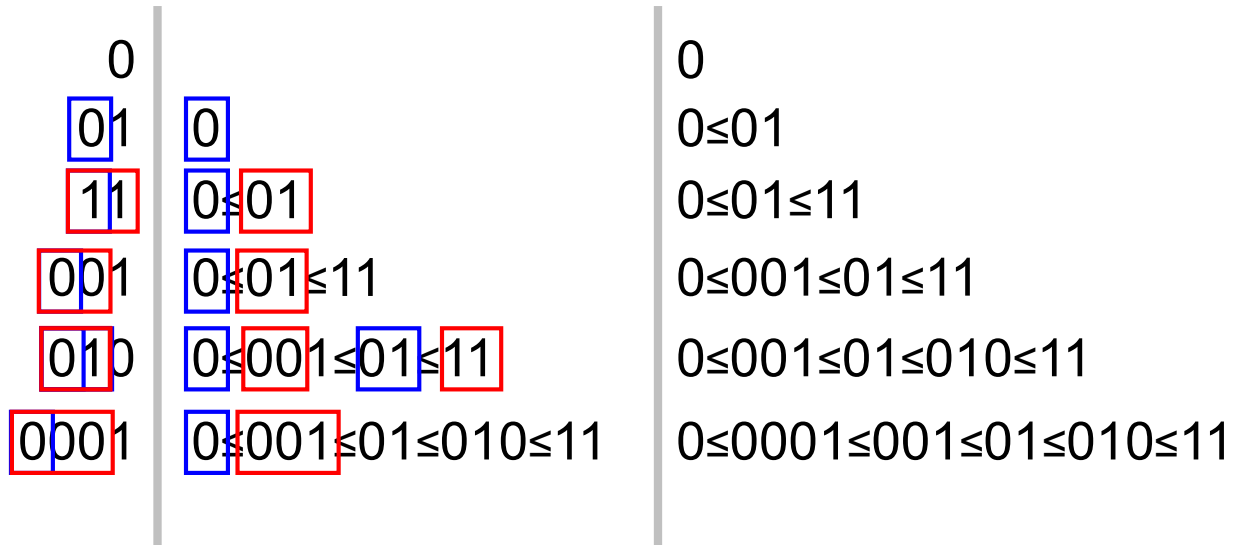
- |  |   |   |   |   |   |   |   |   |            |         |   |   |             |  |                           |
|--|---|---|---|---|---|---|---|---|------------|---------|---|---|-------------|--|---------------------------|
| <table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>d</td><td>i</td><td>s</td><td>c</td><td>r</td><td>e</td><td>e</td><td>t</td></tr> </table>   | d | i | s | c | r | e | e | t | length = 8 | $t = 8$ |   |   |             |  |                           |
| d  | i | s | c | r | e | e | t |   |            |         |   |   |             |  |                           |
| <table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>d</td><td>i</td><td>s</td><td>c</td><td>r</td><td>e</td><td>e</td><td>t</td><td>n</td><td>e</td><td>s</td><td>s</td></tr> </table> | d | i | s | c | r | e | e | t | n          | e       | s | s | length = 12 |  | $discreet < discreteness$ |
| d  | i | s | c | r | e | e | t | n | e          | s       | s |   |             |  |                           |

- |  |   |   |   |   |   |   |   |   |            |         |                             |  |                         |
|--|---|---|---|---|---|---|---|---|------------|---------|-----------------------------|--|-------------------------|
| <table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>f</td><td>i</td><td>s</td><td>c</td><td>r</td><td>e</td><td>t</td><td>e</td></tr> </table>                     | f | i | s | c | r | e | t | e | length = 8 | $t = 8$ | alphabetical order: $d < f$ |  |                         |
| f  | i | s | c | r | e | t | e |   |            |         |                             |  |                         |
| <table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>d</td><td>i</td><td>s</td><td>c</td><td>r</td><td>e</td><td>t</td><td>e</td><td>e</td><td>n</td></tr> </table> | d | i | s | c | r | e | t | e | e          | n       | length = 12                 |  | $discreteen < fiscrete$ |
| d  | i | s | c | r | e | t | e | e | n          |         |                             |  |                         |

# String: Example

- $(a_1, a_2, \dots, a_t) = (b_1, b_2, \dots, b_t)$  and  $m < n$   
or
- $(a_1, a_2, \dots, a_t) \leq (b_1, b_2, \dots, b_t)$

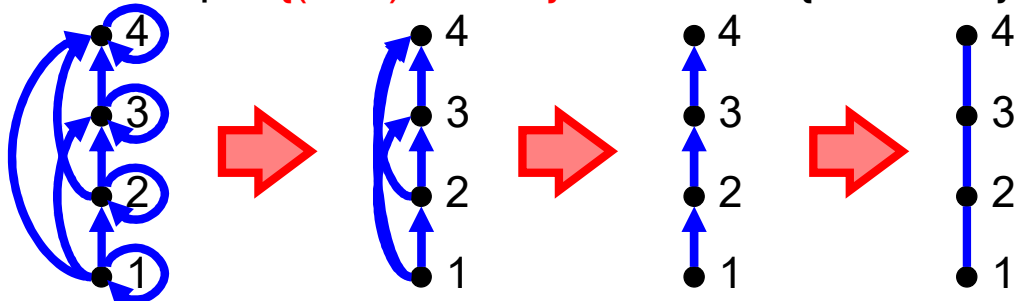
- Find the lexicographic ordering of the bit strings 0, 01, 11, 001, 010, 011, 0001 and 0101 based on the ordering  $0 < 1$



# Hasse Diagrams

It is Hasse Diagram

- Show the partial ordering using a graph
  - For example  $\{(a, b) \mid a \leq b\}$  on the set  $\{1, 2, 3, 4\}$



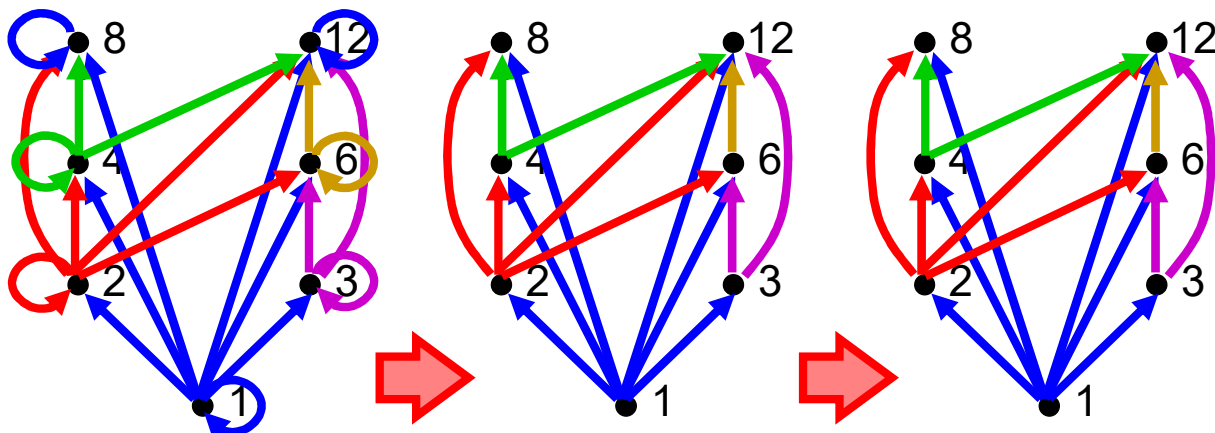
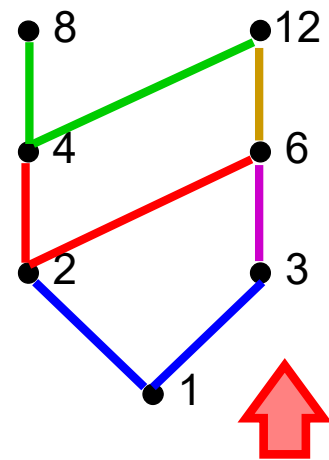
- The graph is too complicated and try to simplify it:
  - A partial ordering must be reflexive: the loops are not necessary
  - A partial ordering must be transitive: some edges can be removed
  - By assuming all edges are pointed upward, the direction of edges is not necessary

# Hasse Diagrams

- To construct a Hasse diagram:
  1. **Construct a digraph** representation of the poset  $(A, R)$  so that all arcs point up (except the loops).
  2. **Eliminate** all loops
  3. **Eliminate** all redundant arcs
    - Start to eliminate from the top
  4. **Eliminate** the **direction** of the edge

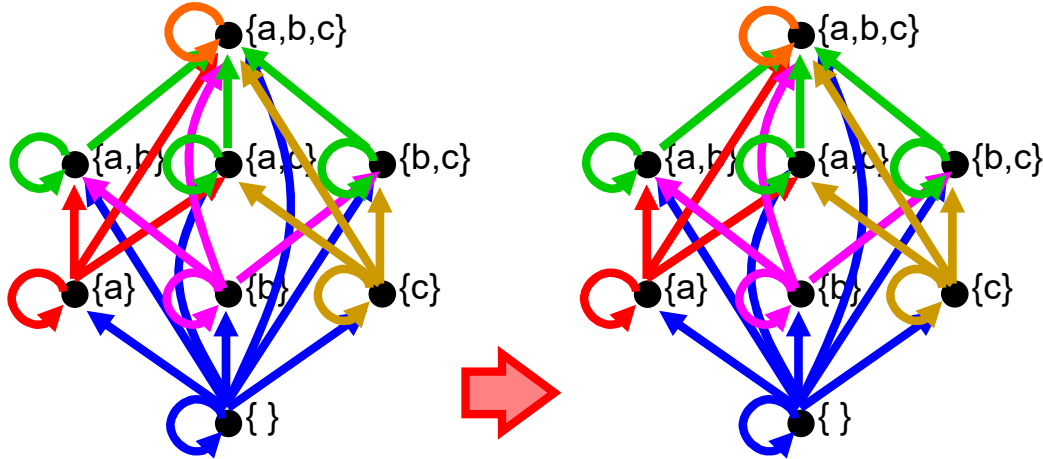
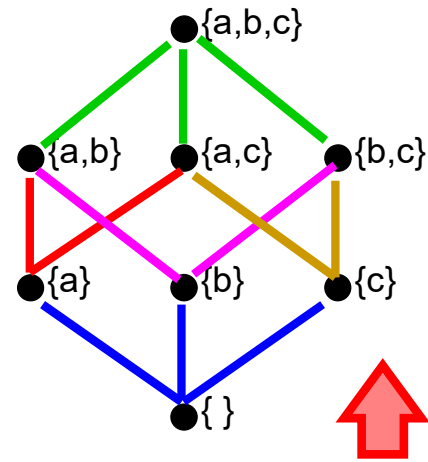
## Hasse Diagrams Example 1

- Draw the **Hasse diagram** representing the partial ordering  $\{ (a,b) \mid a \text{ divides } b \}$  on  $A = \{1, 2, 3, 4, 6, 8, 12\}$



# 😊 Small Exercise 😊

- Construct the **Hasse diagram** of  $(P(\{a, b, c\}), \subseteq)$
- The elements of  $P(\{a, b, c\})$  are  $\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}$
- The digraph is



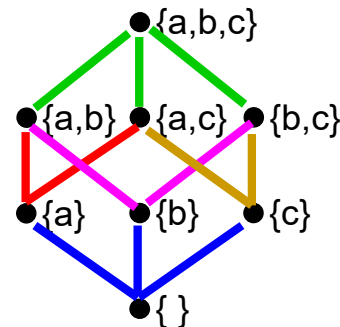
# Covering Relation

- Let  $(S, \preceq)$  be a poset.  $(x, y)$  such that  $y$  cover  $x$  is called **the covering relation** of  $(S, \preceq)$  if  $x \prec y$  and there is no element  $z \in S$  such that  $x \prec z \prec y$

## Example

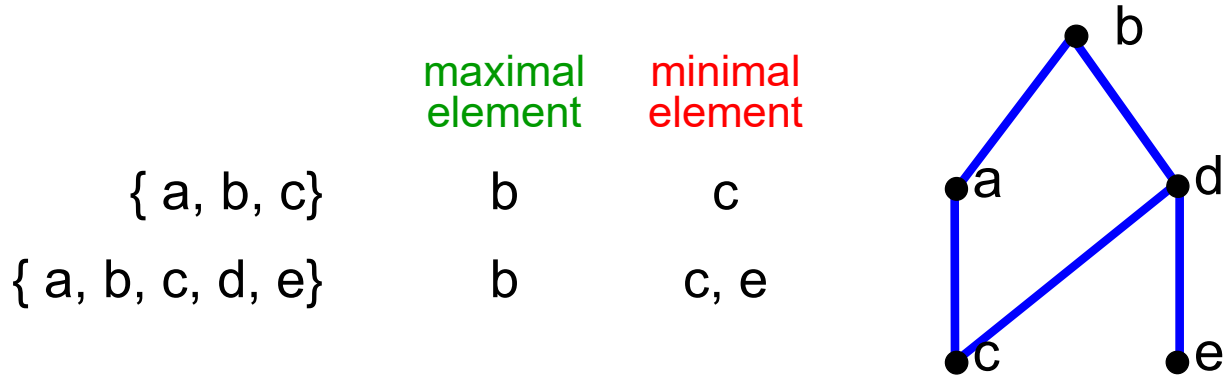
- For  $(P(\{a, b, c\}), \subseteq)$ , if it is a covering relation?

- $(\{a, b\}, \{a\})?$  **✗**  $\{a\} \prec \{a, b\}$
- $(\{a\}, \{a, b\})?$  **✓**
- $(\{\}, \{a, b\})?$  **✗**  $\{\} \prec \{a\} \prec \{a, b\}$  or  $\{\} \prec \{b\} \prec \{a, b\}$
- $(\{a\}, \{a\})?$  **✗**  $\{a\} = \{a\}$



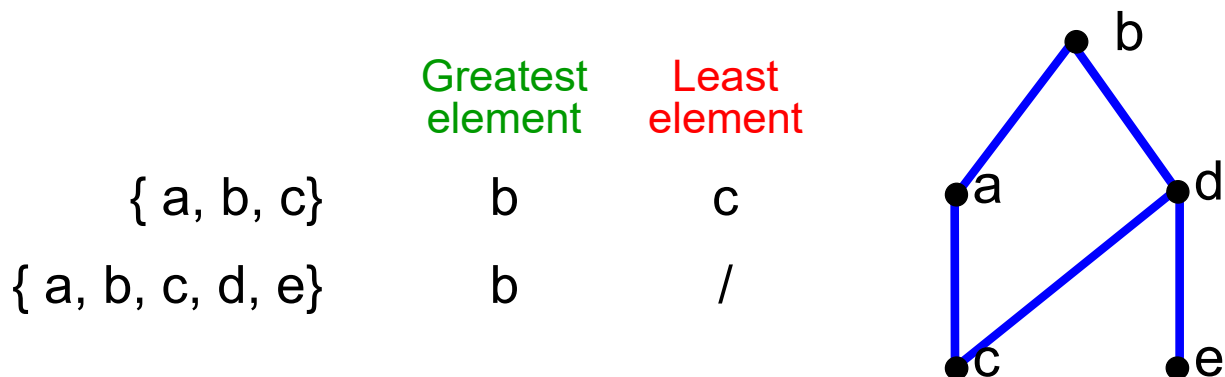
# Minimal & Maximal Elements

- Let  $(A, R)$  be a poset and  $S \subseteq A$ .  
 $s$  ( $b$ ) in  $S$  is a **minimal element** (**maximal element**) of  $S$  iff there does not exist an element  $x$  in  $S$  such that  $xRs$  ( $bRx$ )



# Least & Greatest Elements

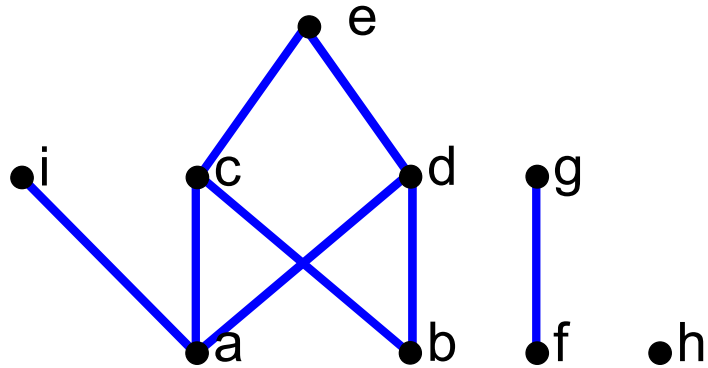
- Let  $(A, R)$  be a poset and  $S \subseteq A$ .  
 $s$  ( $b$ ) in  $S$  is a **least element** (**greatest element**) of  $S$  iff  $sRx$  ( $xRb$ ) for every  $x$  in  $S$
- It is **unique** if it exists



## 😊 Small Exercise 😊

- **Minimal element:** **a b f h**
  - Not exist an element  $x$  in  $S$  such that  $xRs$
- **Maximal element:** **e i g h**
  - Not exist an element  $x$  in  $S$  such that  $bRx$
- **Least element:** /
  - $sRx$  for every  $x$  in  $S$
- **Greatest element:** /
  - $xRb$  for every  $x$  in  $S$

$S = \{a, b, c, d, e, f, g, h, i\}$

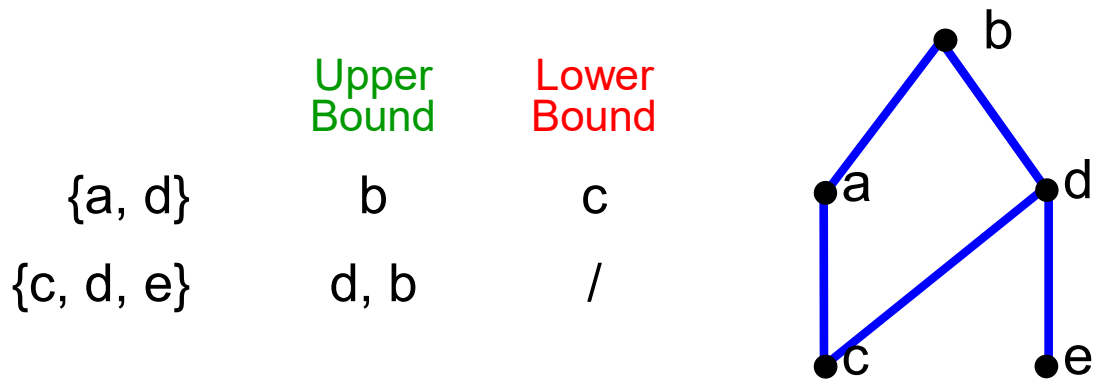


## Well Ordered

- A chain  $(A, R)$  is **well-ordered** iff every nonempty subset of  $A$  has a least element
- Examples:
  - $(\mathbb{Z}, \leq)$  is **a chain but not well-ordered**
    - $\mathbb{Z}$  does not have least element
  - $(\mathbb{N}, \leq)$  is **well-ordered**
  - $(\mathbb{N}, \geq)$  is **not well-ordered**

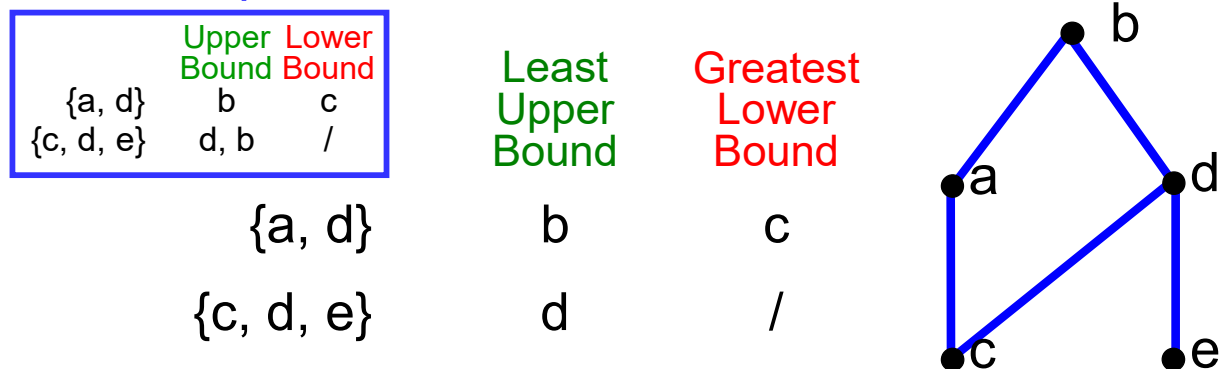
# Upper & Lower Bound

- Let  $(A, R)$  be a poset and  $S \subseteq A$ .  
 $s$  ( $b$ ) in  $A$  is an **lower bound** (**upper bound**) of  $S$  iff  $sRx$  ( $xRb$ ) for every  $x$  in  $S$



# Greatest Lower & Least Upper Bounds

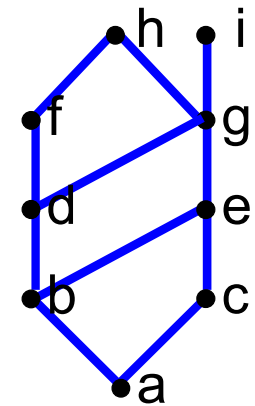
- Let  $(A, R)$  be a poset and  $S \subseteq A$ .  
 $s$  ( $b$ ) is the **least upper bound** (**greatest lower bound**), denoted  $\text{lub}(S)$  ( $\text{glb}(S)$ ), iff  $s$  ( $b$ ) is an **upper bound** (**lower bound**) for  $S$  and  $sRx$  ( $yRb$ ) for all other **upper bounds**  $x$  (**lower bounds**  $y$ ) of  $S$
- It is **unique** if it **exists**





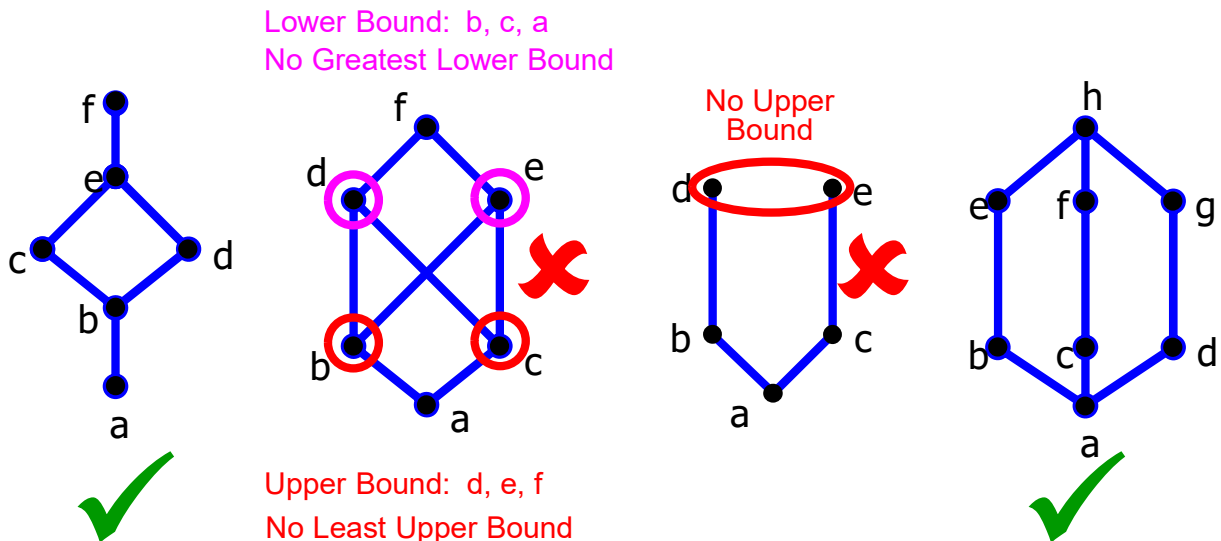
# 😊 Small Exercise 😊

	{a,b,c}	{b,c,d,g}	{h,i}
Minimal Element	a	b,c	h,i
Maximal Element	b,c	g	h,i
Least Element	a	/	/
Greatest Element	/	g	/
Lower Bound	a	a	a,b,c,e,d,g
Upper Bound	e,g,i,h	g,i,h	/
Greatest Lower Bound	a	a	g
Least Upper Bound	e	g	/



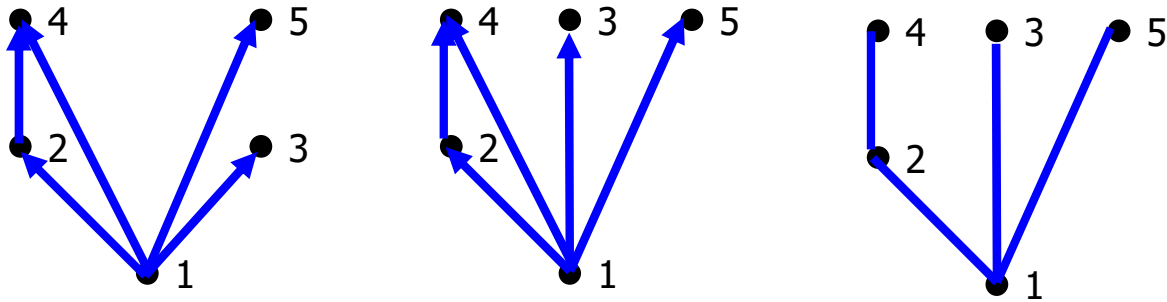
## Lattice

- A partially ordered set in which every pair of elements has both a least upper bound and a greatest lower bound is called a **lattice**



# Lattice: Example

- Poset  $(\{1,2,3,4,5\}, |)$  ? **Not Lattice**
  - 2,3 have no upper bounds in  $\{1,2,3,4,5\}$
- Poset  $(\{1,2,4,8,16\}, |)$  ? **Lattice**



# Lattice: Theorem

- **Theorem:** If  $L$  is a lattice, **least upper bound** and **greatest lower bound** of  $a$  and  $b$  can be defined as  $a \vee b$  and  $a \wedge b$ , respectively.  $\vee$  and  $\wedge$  satisfy the following properties for  $a, b, c \in L$ .
  1. **Commutative laws**  
 $a \vee b = b \vee a$  and  $a \wedge b = b \wedge a$
  2. **Associative laws**  
 $a \vee (b \vee c) = (a \vee b) \vee c$  and  $a \wedge (b \wedge c) = (a \wedge b) \wedge c$
  3. **Idempotent laws**  
 $a \vee a = a$  and  $a \wedge a = a$
  4. **Absorption laws**  
 $a \vee (a \wedge b) = a$  and  $a \wedge (a \vee b) = a$
  - *Be noted that  $\vee$  and  $\wedge$  does not necessary to be OR and AND. They can be any binary operation which fulfill the following properties*

# Lattice: Theorem: Example 1

Given  $(P(\{x,y\}), \preceq)$

Is it a partial order?

1. Reflexive ✓
2. Antisymmetric ✓
3. Transitive ✓

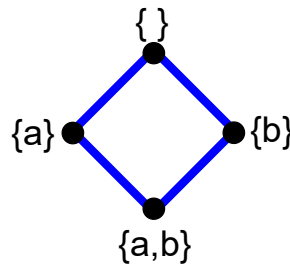
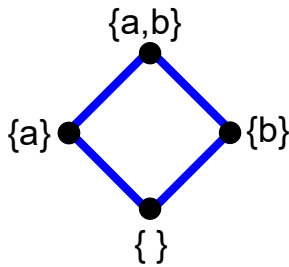
Is it a lattice? ✓

lub:  $a \vee b$   
glb:  $a \wedge b$

What should be  $\vee$  or  $\wedge$  ?

$\vee = \cup$ ,  
 $\wedge = \cap$  ✓  
 $\preceq = \subseteq$

$\vee = \cap$ ,  
 $\wedge = \cup$  ✓  
 $\preceq = \supseteq$



1. Commutative laws

$$a \vee b = b \vee a \text{ and } a \wedge b = b \wedge a$$

2. Associative laws

$$a \vee (b \vee c) = (a \vee b) \vee c \text{ and}$$

$$a \wedge (b \wedge c) = (a \wedge b) \wedge c$$

3. Idempotent laws

$$a \vee a = a \text{ and } a \wedge a = a$$

4. Absorption laws

$$a \vee (a \wedge b) = a \text{ and } a \wedge (a \vee b) = a$$

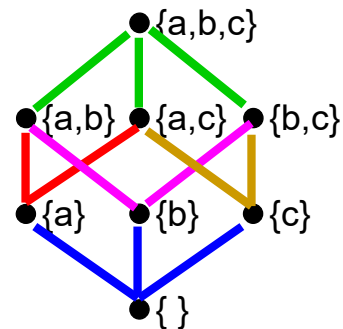
# Lattice: Theorem: Example 2

Given L as  $(P(\{a, b, c\}), \subseteq)$ ,

$$\vee = \cup \text{ and } \wedge = \cap$$

Recall, lub:  $a \vee b$

$$\text{glb: } a \wedge b$$



lub of  $\{a\}$  &  $\{a,b\}$ ?  $\{a\} \cup \{a,b\} = \{a,b\}$

lub of  $\{a\}$  &  $\{b,c\}$ ?  $\{a\} \cup \{b,c\} = \{a,b,c\}$

glb of  $\{a,b\}$  &  $\{b,c\}$ ?  $\{a,b\} \cap \{b,c\} = \{b\}$

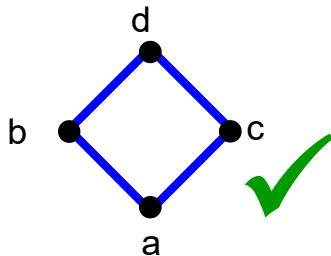
# Distributive Lattice

- A lattice  $(L, \vee, \wedge)$  is **distributive** if the following identity holds for all  $a, b, c \in L$ :

- $a \vee (b \wedge c) = (a \vee b) \wedge (a \vee c)$
- $a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c)$

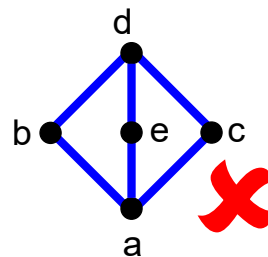
- Example,

- Are they distributive?



$$b \wedge (e \vee c) = b \wedge d = b$$

$$(b \wedge e) \vee (b \wedge c) = a \vee a = a$$



# Bounded Lattice

- A lattice  $(L, \preceq)$  is called **bounded lattice** if there exist elements  $\alpha, \beta \in L$  such that for each  $x \in L$ ,  $x \preceq \alpha$  and  $\beta \preceq x$ .
  - $\alpha$  is **the largest element** of  $L$  (denoted by **1**)
  - $\beta$  is **the smallest element** of  $L$  (denoted by **0**)
- If a lattice is bounded, then
  - 1** is the **lub of the lattice**
  - 0** is the **glb of the lattice**

# Complemented Lattice

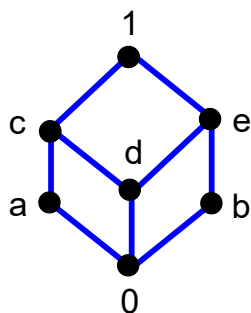
lub:  $a \vee b$   
glb:  $a \wedge b$

- A bounded lattice  $(L, \preceq)$  is **complemented lattice** if for each  $x \in L$ , there exists  $y \in L$  such that  $x \vee y = 1$  and  $x \wedge y = 0$ 
  - $y$  is a **complement of  $x$**  (denoted by  $\neg x$ )
- In general an element may have more than one complement

## Complemented Lattice Example

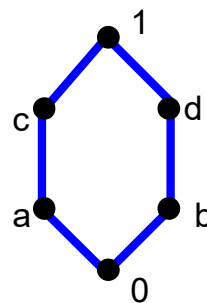
lub:  $a \vee b$   
glb:  $a \wedge b$

$y$  is  $\neg x$  if  $x \vee y = 1$  and  $x \wedge y = 0$



Not  
Complemented  
Lattice

$x$	$\neg x$
0	1
1	0
a	b, e
b	a, c
c	b
d	NO
e	a



Complemented  
Lattice

$x$	$\neg x$
0	1
1	0
a	b, d
b	a, c
c	b, d
d	a, c

# Lattice: Principle of Duality

- Any statement that is **true** for lattice remains true when  $\preceq$  is **replaced** by  $\succeq$  and  $\wedge$  and  $\vee$  are **interchanged** throughout the statement.
- Example of dual

