Discrete Mathematic

Chapter 5: Relation

Closures of Relations 5.5 Equivalence Relations

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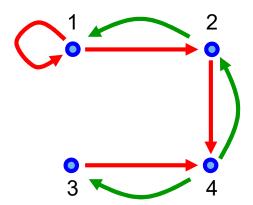
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Agenda

- 5.4 Closures of Relations
 - Reflexive Closure
 - Symmetric Closure
 - Transitive Closure
- 5.5 Equivalence Relations
 - Equivalence Relations
 - Equivalence Class
 - Partition

Introduction: Closures

- Is it symmetric?
- How can we produce a <u>symmetric relation</u> <u>containing R</u> that is <u>as small as possible</u>?

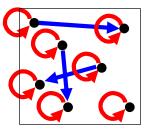


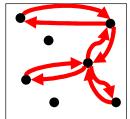
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Closure

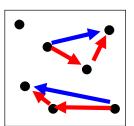
- Let R be a relation on a set A
- S is called the closure of R with respect to property P if
 - S with property P
 - S is a subset of every relation with property P containing R
 - Minimum terms are added to R to fulfill the requirements of property P

- Reflexive Closure
 - ∀a ((a, a) ∈ R)





- Symmetric Closure
 - $\forall a \ \forall b \ (\ ((a, b) \in R) \rightarrow ((b, a) \in R)\)$
- Transitive Closure
 - $\forall a \forall b \forall c (((a,b) \in R \land (b,c) \in R) \rightarrow ((a,c) \in R))$



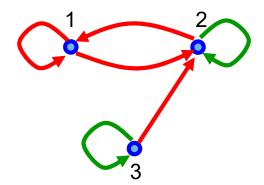
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Closure

Reflexive Closure: Example

 $R = \{(1,1), (1,2), (2,1), (3,2)\}$ on the set A = \{1, 2, 3\}





- How can we produce a reflexive relation containing R that is as small as possible?
 - Add (2,2) and (3,3)
- $R' = \{(1,1), (1,2), (2,1), (3,2), (2,2), (3,3)\}$
- R' is reflexive closure of R
 - Any reflexive relation that contains R must contain R'

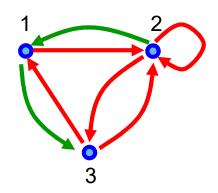
Reflexive Closure

- r(R) denotes the reflexive closure of R
- How to create a reflexive closure for R?
 - Graphical view
 - Add loop for each element
 - Mathematical View
 - Let D (or I) be the <u>diagonal relation</u> (equality relation) on R, where D = {(x, x) | x ∈ R}
 - The reflexive closure of R is R ∪ D

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Closure

Symmetric Closure: Example



- R = {(1,2), (1,2), (2,2), (2,3), (3,1), (3,2)} on {1, 2, 3}
- R is not symmetric
- How can we produce a symmetric relation containing R that is as small as possible?
 - Add (2,1) and (1,3)
- R' = {(1,2), (1,2), (2,2), (2,3), (3,1), (3,2), (2,1), (1,3)}
- R' is symmetric closure of R
 - Any symmetric relation that contains R must contain R'

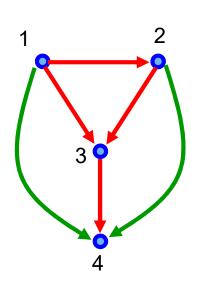
Symmetric Closure

- s(R) denotes the symmetric closure of R
- How to create a symmetric closure for R?
 - Graphical view
 - Add edges in the opposite direction
 - Mathematical View
 - Let R^{-1} be the <u>inverse</u> of R, where $R^{-1} = \{(y,x) \mid (x,y) \in R\}$
 - The symmetric closure of R is R ∪ R⁻¹
- Theorem: R is symmetric iff R = R⁻¹

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Closure

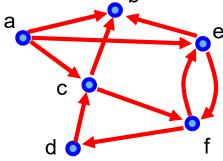
Transitive Closure: Example



- R = $\{(1,2), (1,3), (2,3), (3,4)\}$ on $\{1,2,3,4\}$
- R is not transitive
- How can we produce a transitive relation containing R that is as small as possible?
 - Add (1,4), (2,4)
- R' = {(1,2), (1,3), (2,3), (3,4), (1,4), (2,4)}
- R' is transitive closure of R
 - Any transitive relation that contains R must also contain R'

Transitive Closure

- t(R) denotes the transitive closure of R
- How to create a transitive closure for R?
 - Graphical view
 - If there is a path from a to b and b to c, add an edge from a to c
 - However, it is not easy
 - Example:



- Mathematical View
 - Transitive Closure of R is R*

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Closure

Transitive Closure

The connectivity relation of the relation R, denoted R*, is the union of Ri, where i = 1,2,3,...

$$R^* = \bigcup_{n=1}^{\infty} R^n$$

Transitive Closure of R is R*

Transitive Closure

• Theorem
If R ⊂ S, then R o S ⊂ S o S

Theorem
 If R is transitive then so is Rⁿ

Theorem
 R is transitive iff Rⁿ ⊆ R for n > 0

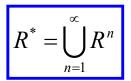
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Closure

Transitive Closure

- Proof: Transitive Closure of R is R*
 - 1. R* is a transitive relation
 - 2. R* contains R
 - 3. R* is the smallest transitive relation which contains R

Transitive Closure



Proof: 1. R* is a transitive relation

- Suppose (x, y) and (y, z) are in R* Show (x, z) is in R*
- By definition of R*, (x, y) is in R^m for some m and (y, z) is in Rⁿ for some n.
- Then (x, z) is in Rⁿ o R^m = R^{m+n} which is contained in R*
- Hence, R* must be transitive

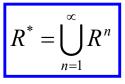
Proof: 2. R* contains R

The proof is obvious by the definition of R*

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Closure

Transitive Closure



Proof: 3. R* is the smallest transitive relation which contains R

- Now suppose S is any transitive relation that contains R
 Theorem:
- Show S contains R^* R is transitive iff $R^n \subseteq R$ for n > 0
- Since S is transitive, Sⁿ ⊂ S
- For the power is 2,
 R² = R o R ⊂ S o R ⊂ S o S

Theorem: If $R \subset S$, then $R \circ S \subset S \circ S$

- It is true for n, Rⁿ ⊂ Sⁿ
- Therefore Rⁿ ⊂ Sⁿ ⊂ S for all n
- Hence S must contain R* since it must also contain the union of all the powers of R

Transitive Closure

• How can we calculate the infinite union?

$$R^* = \bigcup_{n=1}^{\infty} R^n$$

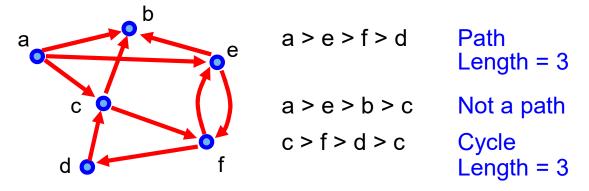
• If it is necessary to calculate all Rⁱ?

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Closure

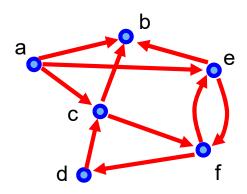
Transitive Closure

- A path of length n in a digraph G is a sequence of edges (x₀, x₁),(x₁, x₂),...,(x_{n-1}, x_n)
- A cycle is a path with starting point (x₀) = end point (x_n)



Transitive Closure

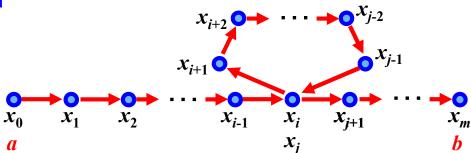
- Let A be a set with n elements, and let R be a relation on A
- If there is a path from a to b, then the length of this path will not exceed n



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Proof

- Suppose there is a path from a to b in R
- Let m be the length of the shortest path, which is x₀, x₁, x₂, ..., x_{m-1}, x_m, where x₀ = a and x_m = b
- Assume m > n
- Because n vertices in A and there are m vertices in the path, at least two vertices in the path are equal
- Suppose that $x_i = x_j$ with $0 \le i < j \le m$
- There is a path contained a cycle from x_i to itself (x_i)
- This cycle can be removed to shorten the path
- Hence, the shortest length must be less than or equal to n



Transitive Closure

- From the Theorem, we know that R^k for k > n does not contain any edge that does not already appear in the first n powers of R
- Assume R is the relation on set A

$$R^* = \bigcup_{k=1}^{\infty} R^k = \bigcup_{k=1}^{|A|} R^k$$

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Closure

Transitive Closure

Theorem

Let M_R be the zero-one matrix of the relation R on a set with n elements. Then the zero-one matrix of the transitive closure R^* is

$$M_{R^*} = M_R^{[1]} \vee M_R^{[2]} \vee M_R^{[3]} \vee \cdots \vee M_R^{[n]}$$

Remark:
$$M_{R^k} = M_{R}^{[k]}$$

 $M_{R} = M_{R}^{[1]}$

Transitive Closure: Example

 Find the zero-one matrix of the transitive closure of the relation R where

$$M_{R} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \qquad M_{R}^{[2]} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \qquad M_{R}^{[3]} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

$$M_{_{R^{^{*}}}}=M_{_{R}}\vee M_{_{R}}^{[2]}\vee M_{_{R}}^{[3]}$$

$$M_{R^*} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

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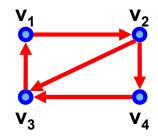
Closure: Transitive Closure

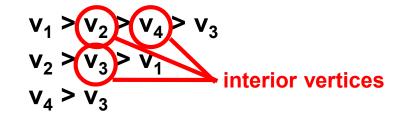
Warshall's Algorithm

- Warshall's Algorithm can reduce the complexity of R* calculation
- For the path

the interior vertices are $x_1, x_2, ..., x_{m-1}$

 All the vertices of the path except the first and last vertices





Closure: Transitive Closure

Warshall's Algorithm

 Warshall's algorithm is based on the construction of a sequence of zero-one matrices, W₀, W₁, ..., W_n, where W₀=M_R

$$W_{k} = \begin{bmatrix} w_{11}(k) & w_{12}(k) & \dots & \dots \\ w_{21}(k) & \ddots & & \vdots \\ \vdots & & & w_{ij}(k) & \vdots \\ \vdots & & & \ddots & \ddots \end{bmatrix} \quad \begin{array}{c} \mathsf{V}_{1} & \mathsf{V}_{2} & w_{14}(\mathbf{0}) = \mathbf{0} \\ w_{14}(\mathbf{1}) = \mathbf{0} \\ w_{14}(\mathbf{1}) = \mathbf{0} \\ w_{14}(\mathbf{2}) = \mathbf{1} \\ \end{array}$$

• w_{ij}(k) = 1 if there is a path from v_i to v_j such that all the interior vertices of this path are in the set {v₁, v₂, ..., v_k}; otherwise is 0

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Closure: Transitive Closure

Warshall's Algorithm

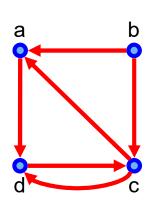
- The (i,j)th entry of M_{R*} is 1 iff there is a path from v_i to v_j with all the interior vertices in the set {v₁, v₂, ..., v_n}, therefore, W_n = M_{R*}
- Algorithm
 - $W_0 = M_R$
 - For k = 1 ... n
 - Update each element in W_k by using:

$$w_{ij}^{[k]} = w_{ij}^{[k-1]} \vee (w_{ik}^{[k-1]} \wedge w_{kj}^{[k-1]})$$

Closure: Transitive Closure

Warshall's Algorithm: Example

Find the matrices W₀, W₁, W₂, W₃ and W₄ for the R shown in the directed graph



• Let $v_1=a$, $v_2=b$, $v_3=c$, $v_4=d$. W_0 is the matrix of the relation. Hence,

$$W_0 = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

27 Ch 5.4 & 5.5

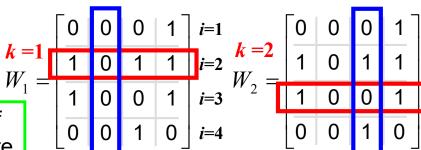
Closure: Transitive Closure

Warshall's Algorithm: Example

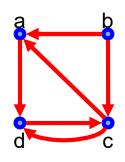
$$W_{0} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \qquad W_{ij}^{[k]} = W_{ij}^{[k-1]} \lor (W_{ik}^{[k-1]} \land W_{kj}^{[k-1]})$$

$$W_{0} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \qquad W_{ij}^{[k]} = W_{ij}^{[k-1]} \lor (W_{ik}^{[k-1]} \land W_{kj}^{[k-1]})$$

$$w_{ij}^{[k]} = w_{ij}^{[k-1]} \vee (w_{ik}^{[k-1]} \wedge w_{kj}^{[k-1]})$$



 W_4 is the matrix of the transitive closure



$k = 3$ $W_3 =$	0	0	0	1	
	1	0	1	1	
	1	0	0	1	
	1	0	1	1	

$\begin{array}{c} \mathbf{k} = 4 \\ W_4 = \end{array}$	1	0	1	1
	1	0	1	1
	1	0	1	1
	1	0	1	1

Let R be binary relation on a nonempty set A

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Closure: Theorem

- Let R be binary relation on a nonempty set A
 - If R is reflexive, r(R) = R
 - If R is symmetric, s(R) = R
 - If R is transitive, t(R) = R

- Let R be binary relation on a nonempty set A
 - If R is reflexive, s(R) and t(R) are reflexive
 - If R is symmetric, t(R) and r(R) are symmetric
 - If R is transitive, r(R) is transitive

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Closure: Theorem

- Suppose R is transitive, is s(R) transitive?
- Let R= {(1,2),(3,2)}
- R is transitive
- $s(R) = \{(1,2), (2,1), (3,2), (2,3)\}$
- s(R) is not transitive

- Let R be binary relation on a nonempty set A
 - If R is reflexive, s(R) and t(R) are reflexive
 - If R is symmetric, t(R) and r(R) are symmetric
 - If R is transitive, r(R) is transitive

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Closure: Theorem

• Proof r(s(R)) = s(r(R))

```
■ s(r(R)) = s(R \cup D) where D = \{(x, x) | x \in R\}

= (R \cup D) \cup (R \cup D)^{-1}

= (R \cup D) \cup (R^{-1} \cup D^{-1})

= (R \cup R^{-1}) \cup (D \cup D^{-1})

= s(R) \cup D

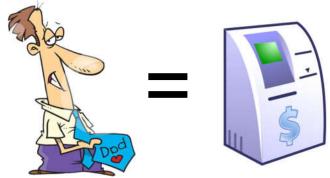
= r(s(R))
```

- Do the closure operations distribute
 - over the set operations?
 - over inverse?
 - over complement?
 - over set inclusion?
 - Example:
 - $t(R_1 R_2) = t(R_1) t(R_2)$?
 - $r(R^{-1}) = (r(R))^{-1}$?

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Equivalence

What is Equivalence?

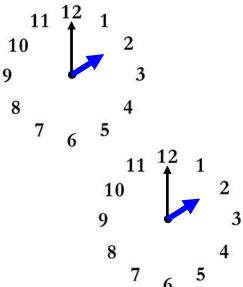


What properties the equivalence should have?

Reflexive Ineflexive Transitive
Symmetric Asymmetric Antisymmetric

Equivalence

- How to represent "2" in clock system?
- How to represent "14" in clock system?
- Clock System is Arithmetic modulo 12
- "2", "14", "26", "38"... are equivalence in clock system

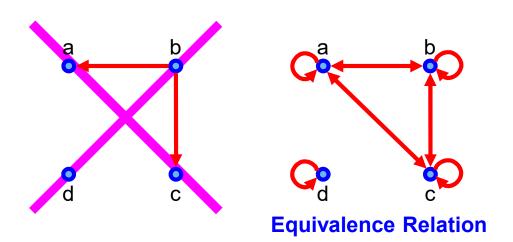


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Equivalence Relations

Definition

A relation R on a set A is an equivalence relation iff R is reflexive, symmetric and transitive



Equivalence Relations

Example 1

Suppose that R is the relation on the set of strings of English letters such that aRb iff g(a)=g(b), where g(x) is the length of the string x.

Is R an equivalence relation?

- Reflexive
 - Since g(a)=g(a), it follows that aRa whenever a is a string
- Symmetric
 - Let aRb, so g(a)=g(b), bRa. Therefore, g(b)=g(a)
- Transitive
 - Let aRb and bRc, then g(a)=g(b) and g(b)=g(c), so aRc
- Consequently, R is an equivalent relation

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Equivalence Relations

Example 2

Definition of Congruence

 $a \equiv b \pmod{m}$

a is congruent to b modulo m if m divides a-b

Let m be a positive integer greater than 1. Show that the relation R = { (a,b) | a ≡ b (mod m) } is an equivalence relation on the set of integers

Equivalence Relations

Example 2

Reflexive

- R = { (a,b) | a = b (mod m) } b = x · m + a where x is an integer x = (b-a) / m
- a a = 0 is divisible by m, hence, $a \equiv a \pmod{m}$
- Symmetric
 - Suppose that $(a, b) \in \mathbb{R}$, so x = (b-a)/m, where x is an integer
 - (-x) = (a-b) / m, -x is also an integer, (b, a)∈R
- Transitive
 - Suppose that (a,b) ∈ R and (b,c) ∈ R
 - xm = (b-a) and ym = (c-b), x and y are integers
 - a-c = xm+ym = (x+y)m, x+y is also an integer
 - Thus, (a, c)∈R

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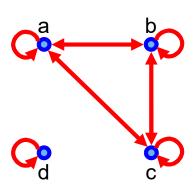
Equivalence Relations

Example 3

- Show that the "divides" relation on the set of positive integers is an equivalence relation.
- "Divide" relation is not symmetric
 - E.g., 2 divide 4 but 4 does not divide 2
- It is not an equivalence relation

Equivalence

- Two elements a and b that are related by an equivalence relation are called equivalent
- Notation: a ~ b



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Equivalence: Examples

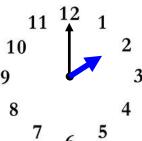
- R is the relation on the set of strings of English letters, where aRb iff g(a)=g(b) and g(x) is the length of the string x
 - "Peter" ~ "Susan"
 - "Ann" ~ "May"
- R = { (a,b) | a ≡ b (mod m) } on the set of integers
 - For $m = 7, 5 \sim 12$
 - For m = 12, 14 ~ 2

Definition

Let R be an equivalence relation on a set A.

The set of all elements that are related to an element a of A is called the equivalence class of a

- Example (clock system)
 - "2", "14", "26", "38"... are equivalence
 - Therefore, they form an equivalence class



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Equivalence Classes

The equivalence class of a with respect to R
is denoted by [a]_R

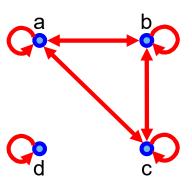
$$[a]_R = \{s \mid (a,s) \in R\}$$

• If b ∈ [a]_R, b is called a representative of this equivalence class

Example 1

- $[a]_R = \{s \mid (a,s) \in R\}$
- Equivalence class of

$$[d] = \{d\}$$



Ch 5.4 & 5.5 47

Equivalence Classes

Example 2

$$[a]_R = \{s \mid (a,s) \in R\}$$

- R = { (a,b) | a = b (mod m) } is an equivalence relation on the set of integers, where m be a positive integer greater than 1
 - Let m = 5

```
R = \{ (a,b) \mid a \equiv b \pmod{5} \}
```

General Case, for any m,

Example 3

$$[a]_R = \{s \mid (a,s) \in R\}$$

 R is the relation on the set of strings of English letters, where aRb iff g(a)=g(b) and g(x) is the length of the string x

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• [e] = { a, b, c, ..., z }
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- [Susan] = { happy, email, ... }
- For any a,
 [a] = the set of all strings of the same length as

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Equivalence Classes

Theorem

- Let R be an equivalence relation on a nonempty set A. The following statements are equivalent:
 - 1. aRb
 - 2. [a] = [b]
 - 3. [a] \cap [b] $\neq \emptyset$

Theorem: Proof

- Show (1) implies (2)
 - Assume that aRb
 - Suppose c ∈ [a]. Then aRc
 - As aRb and R is symmetric, we have bRa
 - Furthermore, since R is transitive and bRa and aRc, it follows that bRc
 - Hence, c ∈ [b]
 - This shows that [a] ⊆ [b]
 - The proof that [b] ⊆ [a] is similar.
- Show (2) implies (3)
 - Assume that [a] = [b]
 - It follows that [a] ∩ [b] ≠ Ø since [a] is nonempty

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Equivalence Classes

Theorem: Proof

- Show that (3) implies (1)
 - Suppose that [a] ∩ [b] ≠Ø
 - Then there is an element c∈[a] and c∈[b]
 - In other words, aRc and bRc
 - By the symmetric property, cRb
 - Then by transitive, since aRc and cRb, we have aRb.
- Since (1) implies (2),(2) implies (3), and (3) implies (1), the three statements are equivalent.

1. aRb

2. [a] = [b]

aRb

[a] = [b]

[a] \cap [b] $\neq \emptyset$

3. [a] \cap [b] $\neq \emptyset$

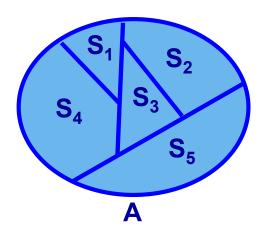
Definition

Let S_1 , S_2 , ..., S_n be a collection of subsets of A. The collection forms a partition of A if the subsets are

1. Nonempty $S_i \neq \emptyset$

2. Disjoint
$$S_i \cap S_j = \emptyset$$
 if $i \neq j$

3. Exhaust A
$$\bigcup_{i=1}^{n} S_i = A$$



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Equivalence Classes & Partitions

Theorem 1

- Let R be an equivalent relation on a set A.
 Then the equivalence classes of R form a partition of A
- Conversely, given a partition {S_i | i ∈ C} of the set A, there is an equivalence relation R that has the sets S_i, where i ∈ C, as its equivalence classes

Theorem 2

- Equivalence classes of an equivalence relation R partition the set A into disjoint nonempty subsets whose union is entire set
- This partition is denoted A/R and called
 - Quotient set, or
 - Partition of A induced by R, or
 - A modulo R
- The partition is a set of equivalence classes whose union is the entire set

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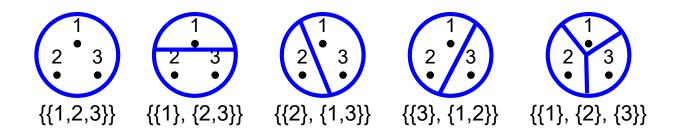
Equivalence Classes & Partitions

Example 1

- What are the sets in the partition of the integers arising from congruence modulo 4?
- There are four congruence classes, corresponding to [0]₄, [1]₄, [2]₄ and [3]₄.
 - $[0]_4 = \{..., -8, -4, 0, 4, 8, ...\}$
 - **[1]**₄ ={...,-7,-3,1,5,9,...}
 - **[2]**₄ = $\{...,-6,-2,2,6,10,...\}$
 - $[3]_4 = {..., -5, -1, 3, 7, 11, ...}$
- The quotient set: Z/R = { [0]₄, [1]₄, [2]₄, [3]₄ }

Example 2

Let A={1, 2, 3}, give all the possible partitions on A.



Ch 5.4 & 5.5

Equivalence Classes & Partitions

Example 3

- List the ordered pairs in the equivalence relation R produced by the partition A₁ = {1, 2, 3}, A₂ = {4, 5}, and A₃ = {6} of S = {1, 2, 3, 4, 5, 6}
- For A₁: (1,2), (1,3), (2,3), (2,1), (3,1), (3,2), (1,1), (2,2), (3,3)
- For A₂: (4,5), (5,4), (4,4), (5,5)
- For A₃: (6,6)

Theorem 3

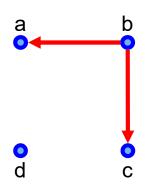
Let R be a relation on A. Reflexive, Symmetric, Transitive closure of R, tsr(R) = t(s(r(R))), is an equivalence relation on A, called the equivalence relation induced by R

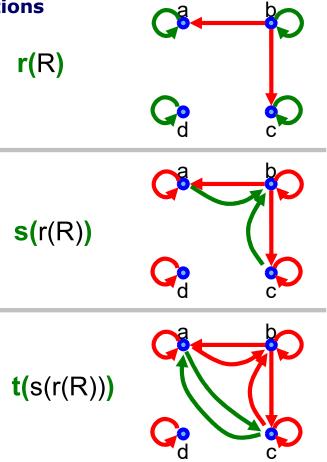
Ch 5.4 & 5.5

Equivalence Classes & Partitions

Theorem 3

- t(s(r(R)))
 - 1. r(R)
 - 2. s(r(R))
 - **3. t(**s(r(R)))





Theorem 3: Proof

- Proof: tsr(R) is an equivalence relation
 - Reflexive
 - When constructing r(R), a loop is added at every element in A, therefore, tsr(R) must be reflexive
 - Symmetric
 - If there is an edge (x, y) then the symmetric closure of r(R) ensures there is an edge (y, x)

Ch 5.4 & 5.5

Equivalence Classes & Partitions Theorem 3

- Transitive
 - When we construct the transitive closure of sr(R), an edge (a, c) is added if (a, b) and (b, c)
 - tsr(R) must be transitive
 - As sr(R) is symmetric, if (a, b) and (b, c) in sr(R), (b, a) and (c, b) are also in sr(R). Therefore, another edge (c, a) is also added
 - It guarantees that tsr(R) is symmetric